



ATTORNEY DOCKET NO. 11A51.002.03US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jean-Michael Scherrman *et al*  
Serial No.: 10/045,971  
Filed: January 9, 2002  
For: Cocaethylene immunogens and antibodies

) Examiner: Not Yet Assigned

) Art Unit: Not Yet Assigned

) SUPPLEMENTAL INFORMATION  
) DISCLOSURE STATEMENT

H4  
5/10/18  
4-2502

**BOX DD**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Supplemental Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98(a)(2)(iii) requiring submission of copies of cited pending U.S. patent applications, and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Please find a copies of references A1-A3 previously listed on the PTO-1449 submitted on January 9, 2002. Copies of all references have been submitted

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202 on April 11, 2002

Signature: Courtney Mayer

Printed Name: Courtney Mayer

PATENT

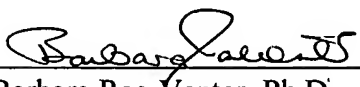
ATTORNEY DOCKET NO. DASL002.03US

that the information submitted herewith is in fact material.

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: March 7, 2002

  
\_\_\_\_\_  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 2

In re the application of: Jean-Michael Scherrman, *et al.* ]  
Serial No. Not Yet Assigned ]  
Filed: January 9, 2002 ]

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

U.S. PATENT DOCUMENTS						
Ref.	Examiner's	Document			Class/	Filing
<u>Desig.</u>	<u>Initials</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Subclass</u>	<u>Date</u>
A1		3,917,582	11/4/75	Soffer <i>et al</i>	260/121	6/13/73
A2		4,045,420	8/30/77	Soffer <i>et al</i>	260/121.R	5/29/73
A3		3,888,866	6/10/75	Leute <i>et al</i>	260/292	6/1/73
A4		5,817,770	10/6/98	Scherrmann <i>et al</i>	530/389.8	
A5		5,202,270	4/13/93	Ungemach <i>et al</i>	436/537	
A6		5,760,184	6/2/1998	Swain <i>et al</i>	530/387.1	11/28/199

PENDING U.S. PATENT DOCUMENTS					
Ref.	Examiner's				
<u>Desig.</u>	<u>Initials</u>	<u>Document Number</u>	<u>Name</u>	<u>Filing Date</u>	
B1		WO 96/30049	10/3/96	WIPO	
B2		WO 91/19980	12/26/91	WIPO	
B3		0467783	1/22/92	EP	
B4		0475783	3/18/92	EP	
B5		WO 93/12111	6/24/93	WIPO	

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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DOCKET NO. DASH 2.03US



COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 2 of 2

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In re the application of: Jean-Michael Scherrman, *et al.* ]

Art Unit: Not Yet Assigned

Serial No. Not Yet Assigned ]

Examiner: Not Yet Assigned

Filed: January 9, 2002 ]

FOREIGN PATENT DOCUMENTS

Ref.	Examiner's	Document			Class/	
<u>Desig.</u>	<u>Initials</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Subclass</u>	<u>Filing Date</u>

NONE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref.	Examiner's
<u>Desig.</u>	<u>Initials</u>

C1

Oyler *et al* (Oct 1996) Analytical Toxicology 20:6:453-62.

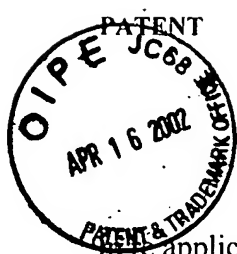
Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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ATTORNEY DOCKET NO. DASI.005.03US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Jean-Michael Scherrman *et al*

Serial No.: 10/045,971

Filed: January 9, 2002

For: Cocaethylene immunogens and antibodies

) Examiner:

) Art Unit:

) SUPPLEMENTAL INFORMATION  
) DISCLOSURE STATEMENT  
) TRANSMITTAL

**BOX DD**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- [X] A Supplemental Information Disclosure Statement.
- [X] A copy of PTO-1449 previously submitted on January 9, 2002.
- [X] Copies of references A1-A3 as listed on the PTO-1449 submitted on January 9, 2002.
- [ ] An International Search Report.
- [X] Return postcard (postage prepaid).

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202 on April 11, 2002.

Signature: Courtney Mayer  
Printed Name: Courtney Mayer

1. ☒ This Information Disclosure Statement is filed before the later of:
- ☒ three months from the filing date of a national application other than a continued prosecution application under §1.53(d);
  - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
  - ☐ the mailing of a first office action on the merits; or
  - ☐ the mailing of a first Office Action after the filing of request for continued examination under §1.114.
- ☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
- ☐ a final action under 37 C.F.R. § 1.113;
  - ☐ a Notice of Allowance under 37 C.F.R. § 1.311; or
  - ☐ an action that otherwise closes prosecution in the application.
- ☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
- ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00; or
  - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed on or before the payment of the issue fee, but after either:
- ☐ a final action under 37 C.F.R. § 1.113;
  - ☐ a Notice of Allowance under 37 C.F.R. § 1.311; or
  - ☐ an action that otherwise closes prosecution in the application.

**PATENT**

**ATTORNEY DOCKET NO. DASL002.03US**

- ☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
- ☐ a certification, as stated below; and
- ☐ a fee under 37 C.F.R §1.17(p) in the amount of \$180.00.

**Certification**

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are: \$

**TOTAL FEES: \$**

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

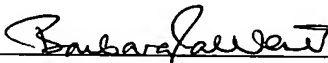
PATENT

ATTORNEY DOCKET NO. DASI.002.03US

[X] A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: March 7, 2002

  
\_\_\_\_\_  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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